


|   |    |  |      |                                 |       |          |                               |
|---|----|--|------|---------------------------------|-------|----------|-------------------------------|
| Based on Form PTO-1449<br>(3/90)  |    | ATTY. DOCKET NO.<br><b>245001-2000.2</b>       |      | SERIAL NO.<br><b>10/771,993</b> |       |          |                               |
|  LIST OF REFERENCES CITED BY APPLICANT<br>(Use several sheets if necessary) |    | APPLICANT<br><b>Gary KATZ and Greg MAYNARD</b> |      |                                 |       |          |                               |
|   |    | FILING DATE<br><b>February 3, 2004</b>         |      | GROUP<br><b>3622</b>            |       |          |                               |
| U.S. PATENT DOCUMENTS   |    |  |      |                                 |       |          |                               |
| EXAMINER<br>INITIAL   |    | DOCUMENT NUMBER                                | DATE | NAME                            | CLASS | SUBCLASS | FILING DATE<br>IF APPROPRIATE |
|   | AA |  |      |                                 |       |          |                               |
|   | AB |  |      |                                 |       |          |                               |
|   | AC |  |      |                                 |       |          |                               |
|   |    |  |      |                                 |       |          |                               |
|   |    |  |      |                                 |       |          |                               |

## FOREIGN PATENT DOCUMENTS

|  |    | DOCUMENT NUMBER | DATE | COUNTRY | CLASS | SUBCLASS | TRANSLATION |    |
|--|----|-----------------|------|---------|-------|----------|-------------|----|
|  |    |                 |      |         |       |          | YES         | NO |
|  | AD |                 |      |         |       |          |             |    |
|  | AF |                 |      |         |       |          |             |    |
|  | AG |                 |      |         |       |          |             |    |
|  | AH |                 |      |         |       |          |             |    |
|  | AI |                 |      |         |       |          |             |    |

## OTHER PRIOR ART (Including Author, Title, Date, Pertinent Pages, Etc.)

|  |    |  |   |
|--|----|--|---|
|  | AJ |  | Complaint And Demand For Jury Trial, Chicago Board Options Exchange, Incorporated v. International Securities Exchange, LLC, Case No. 07C 0623. |
|  | AK |  |   |
|  | AL |  |   |
|  |    |  |   |
|  |    |  |   |

EXAMINER

/Kellie Campbell/

DATE CONSIDERED

12/21/2008

\* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.